

SPFL Securities Limited

Corporate Office :

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[Annexure A to SEBI circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/23 dated February 24, 2022 on Nomination for Eligible Trading and Demat Accounts – Extension of timelines and relaxations for existing account holders]

FORM FOR NOMINATION

(To be filled in by individual applying singly or jointly)

Date	D	D	M	M	Y	Y	Y	Y	UCC/DP ID		Client ID													
I/We wish to make a nomination. [As per details given below]																								
Nomination Details																								
I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.																								
Nomination can be made upto three nominees in the account.										Details of 1st Nominee					Details of 2nd Nominee					Details of 3rd Nominee				
1	Name of the nominee(s) (Mr./Ms.)																							
2	Share of each Nominee		Equally <small>[If not equally, please specify percentage]</small>							%					%					%				
<i>Any odd lot after division shall be transferred to the first nominee mentioned in the form.</i>																								
3	Relationship With the Applicant (If Any)																							
4	Address of Nominee(s) City / Place: State & Country:																							
	PIN Code																							
5	Mobile / Telephone No. of nominee(s) #																							
6	Email ID of nominee(s) #																							
7	Nominee Identification details # [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank account no. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID																							
Sr. Nos. 8-14 should be filled only if nominee(s) is a minor:																								
8	Date of Birth {in case of minor nominee(s)}																							
9	Name of Guardian (Mr./Ms.) {in case of minor nominee(s) }																							
10	Address of Guardian(s)																							

	City / Place: State & Country:						
		PIN Code					
11	Mobile / Telephone no. of Guardian #						
12	Email ID of Guardian #						
13	Relationship of Guardian with nominee						
14	Guardian Identification details # [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar Saving Bank account no. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID						
Name(s) of holder(s)						Signature(s) of holder*	
Sole / First Holder (Mr./Ms.)							
Second Holder (Mr./Ms.)							
Third Holder (Mr./Ms.)							

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

Optional Fields (Information required at Serial nos. 5, 6, 7, 11, 12 & 14 is not mandatory)

Note:

This nomination shall supersede any prior nomination made by the account holder(s), if any.

The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)

Name and Signature of Holder(s)*		
1. _____	2. _____	3. _____

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature